



To be taken at the time of Opening Accounts for Legal Entities, such as Sole Proprietorship, Partnerships, Companies...

DECLARATION OF BENEFICIAL OWNERSHIP

(This form has been issued under the Customer Due Diligence Rules No 1 of 2016 issued in terms of Section 2(3) of the Financial Transactions Reporting Act No 6 of 2006.)

A. CUSTOMER IDENTIFICATION:

Account No. : [grid] Title : [grid]

Name and Designation of Natural [grid]

Person Opening the Account [grid]

I declare that I am the beneficial owner *of the legal person for whom the account is being opened Yes [] No []

B. INFORMATION ON BENEFICIAL OWNERS

Identifying information for all beneficial owners that own or control 10% or more of the customer's equity.

Table with 6 columns: Name & NIC or Passport No., Country of Issue/Country of Citizenship, DOB, Current Address, Source of Beneficial Ownership, PEP** (YES or No)

C. Details of the Customer Authorized to Act on Behalf of Entity

Name : [grid]

NIC/Passport : [grid] Date of Birth : [grid]

I/We hereby confirm having read and understood/explained and made to understand the General Terms and Conditions, hosted in the HNB website at www.hnb.net applicable for Customer Accounts, Data Privacy, Dealings and Transactions of Hatton National Bank PLC, applied for by this application form (which together with the Terms and Conditions of this application shall constitute our contract with the Bank) signed this document in agreement thereof and in acceptance of all such Terms and Conditions.

- I /We confirm that the contents of this mandate were read to me/us and having understood the same I/We have placed my/our signatures.
මෙම ඉල්ලුම් පත්‍රයේ අන්තර්ගත කරුණු මා/අප සට කියවන ලද බව සහ එම කරුණු අවබෝධ කරගෙන මා/අප විසින් මාගේ/අපගේ අත්සන යෙදූ බවට සහතික කරමි/කරමු.
இந்த விண்ணப்பத்தின் உள்ளடக்கங்கள் எனக்கு/எங்களுக்கு வாசிக்கப்பட்டு நன்கு விளங்கியது என்பதை நான்/நாங்கள் உறுதிசெய்வதோடு, நான்/எமது கையொப்பங்களை இட்டு உறுதிசெய்கின்றேன்/உறுதிசெய்கின்றோம்.

Signature :

[Signature box]

(By signing you attest to the veracity of all information contained herein and you acknowledge and understand the above warning)

FOR BANK USE ONLY

D. Verification of Beneficial Ownership (Tick the document (s) obtained for verification)

- Form 1/Form 15 (As per Act No 7 of 2007) [] Minutes of the Board of Directors meeting []
Annual Report [] Partnership Agreement []
Trust Deed [] Based on other information / documents []
Latest shareholding information certified by Company Secretary []

E. Authorized Officer of the Bank

Name : [grid]

Title: [grid]

Signature and Seal:

[Signature and Seal box]

(By signing you attest that you have identified the Customer whose signature is on this form and have witnessed said signature)

*Beneficial owner as "a natural person who ultimately owns or controls a customer or the person on whose behalf a transaction is being conducted and includes the person who exercises ultimate effective control over a person or a legal arrangement"
**Politically Exposed Person "means an individual who is entrusted with prominent public functions either domestically or by a foreign country, or in an international organization and includes a Head of a State or a Government, a politician, a senior government officer, judicial officer or military officer, a senior executive of a State owned Corporation, Government or autonomous body but does not include middle rank or junior rank individuals."